# Transportation Asset Management Webinar Series

Webinar 11: Managing NHS Assets Not Owned by the State

Sponsored by FHWA and AASHTO

Submit questions and comments using the webinar's Q&A feature



Webinar 11 — August 12, 2014

# FHWA-AASHTO Asset Management Webinar Series

- Sharing knowledge is a critical component of advancing asset management practice
- This is the penultimate webinar in a 12-part webinar series that has run over a two-year period
- Webinars are held every two months, on topics such as mitigating and adapting to climate change risks, developing transportation asset management plans, and more
- We welcome ideas for future webinar topics and presentations
- Submit your questions using the webinar's Q&A feature
- Next webinar: Transportation Asset Management Financial
   Plans October 8, 2014 2:00 EST

# Welcome

- FHWA is pleased to sponsor this webinar on managing NHS assets that are not owned by the state, in cooperation with the AASHTO Sub-Committee on Asset Management
- Today's presentations address a topic that impacts a broad audience
- Under MAP-21, state DOTs will soon be required to develop and implement a risk-based transportation asset management plan
  - This will include those NHS pavements and bridge assets not owned by the state
- FHWA is working to help support the necessary coordination with education and capacity-building efforts

# **Non-State Owned NHS Assets**

- FHWA Office of Asset Management is committed to helping agencies understand how non-state owned NHS assets can be addressed in an agency's transportation asset management plan
- Key challenges include:
  - Data collection and management
  - Performance measurement and reporting and setting performance targets
  - Resource allocation and investment planning
  - Coordination across agencies
  - Transition to the enhanced NHS
- While there is no one-size-fits-all solution, our speakers today will address some successful approaches to these challenges and others.

# **Webinar Overview**

- Today's presentation includes four perspectives on addressing nonstate-owned NHS assets in an agency's transportation asset management plan
- We will hear about the experiences of agencies already engaged in taking on these challenges
- Presentations will highlight both successful approaches and some important lessons learned

# **Learning Objectives**

- Building working knowledge of key concepts and definitions relevant to the management of non-state owned NHS assets
- Understanding specific approaches to addressing non-stateowned NHS assets in an agency's transportation asset management plan
- Beginning to apply this knowledge in order to answer:
  - How can multiple asset owners coordinate to help improve the management of infrastructure assets?
  - What benefits can states expect by pursuing a coordinated approach to the non-state-owned assets?
  - What are the key lessons-learned for agencies just beginning to manage non-state-owned assets as part of their TAM program?
- SHARE LESSONS LEARNED, IDEAS, KNOWLEDGE!!!

# Webinar Agenda

Webinar introduction and overview
Matt Hardy (AASHTO), Steve Gaj (FHWA), and
Hyun-A Park (Spy Pond Partners, LLC)
New York's Collaborative Approach to Performance Management Brad Allen and Marty Neveu (New York State DOT)
The California Challenge
Steve Guenther (California DOT)
Michigan's Asset Management Council
Dave Berridge and Brian Sanada (Michigan DOT)
Ohio's State-MPO Relationship
Andrew Williams and Tim McDonald (Ohio DOT)
Q&A and wrap up

# New York's Collaborative Approach to Performance Management



# New York State's Transportation System is a vast and expansive network









130 billion vehicle miles annually

highway miles 3,500-mile rail network



17,400 bridges

130 public transit operators



485 public and private aviation facilities

12 major public and private ports











## Participation in TAMP Development New York State Thruway Authority - NHS Asset Owner









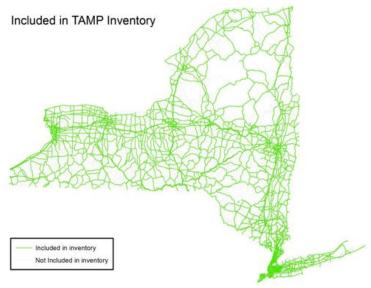
## **Shared Responsibility**

Pavement	NYSDOT	NYSTA	Locals
Interstate	68%	30%	2%
NHS	72%	10%	18%
Fed. Aid	55%	4%	42%
Non-FA	16%	1%	83%
Total - LM	38,8000	2,450	170,000



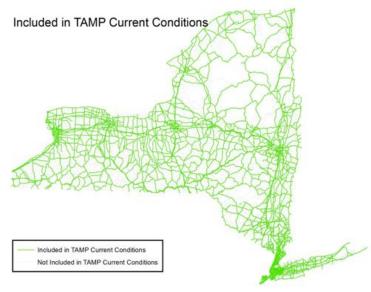
# **Included in TAMP Inventory**

Pavement	NYSDOT	NYSTA	Locals
Interstate	68%	30%	2%
NHS	72%	10%	18%
Fed. Aid	55%	4%	42%
Non-FA	16%	1%	83%
Total - LM	38,8000	2,450	170,000



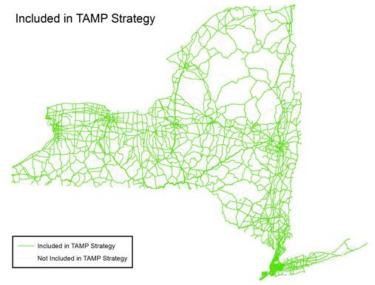
### **Included in TAMP Current Conditions**

Pavement	NYSDOT	NYSTA	Locals
Interstate	68%	30%	2%
NHS	72%	10%	18%
Fed. Aid	55%	4%	42%
Non-FA	16%	1%	83%
Total - LM	38,8000	2,450	170,000



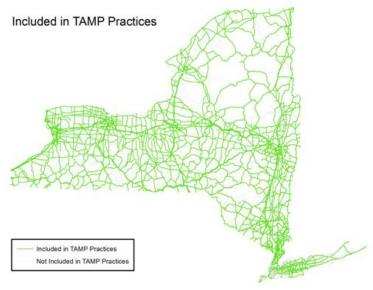
# **Included in TAMP Strategy**

Pavement	NYSDOT	NYSTA	Locals
Interstate	68%	30%	2%
NHS	72%	10%	18%
Fed. Aid	55%	4%	42%
Non-FA	16%	1%	83%
Total - LM	38,8000	2,450	170,000



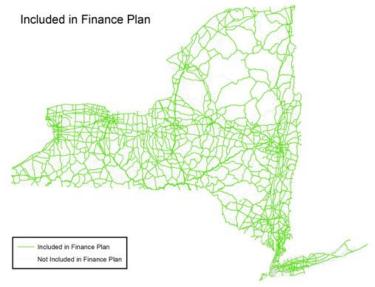
### **Included in TAMP Practices**

Pavement	NYSDOT	NYSTA	Locals
Interstate	68%	30%	2%
NHS	72%	10%	18%
Fed. Aid	55%	4%	42%
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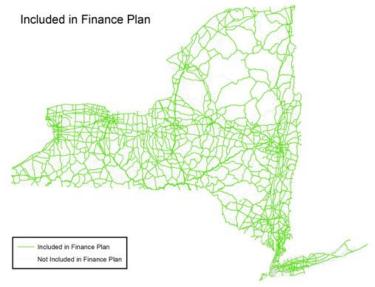
### **Included in TAMP Finance Plan**

Pavement	NYSDOT	NYSTA	Locals
Interstate	68%	30%	2%
NHS	72%	10%	18%
Fed. Aid	55%	4%	42%
Non-FA	16%	1%	83%
Total - LM	38,8000	2,450	170,000



### **Included in TAMP Performance**

Pavement	NYSDOT	NYSTA	Locals
Interstate	68%	30%	2%
NHS	72%	10%	18%
Fed. Aid	55%	4%	42%
Non-FA	16%	1%	83%
Total - LM	38,8000	2,450	170,000



# NYSDOT – NYSAMPO Planning Program Integration



### **MPO / NYSDOT Working Groups**

- Bicycle/Pedestrian Working Group
- Climate Change Working Group
- Freight Working Group
- GIS Working Group
- Management & Operations Working Group
- Modeling Working Group
- Safety Working Group
- Transit Working Group
- MAP-21 Working Group

## Notice of Proposed Rulemakings (NPRMs) - July 2014

http://www.dot.gov/regulations/report-on-significant-rulemakings

Rule	Comment Period Opens	Comment Period Ends
Highway Safety Program Grants	COMPLETE	COMPLETE
FTA ANPRM*	COMPLETE	COMPLETE
CMAQ Program Interim Guidance	COMPLETE	COMPLETE
Safety Performance Measure (Rule 23)	COMPLETE	COMPLETE
Highway Safety Improvement Program (Rule 29)*	COMPLETE	COMPLETE
FHWA/FTA Metropolitan and Statewide Planning (Rules 25 and 60)	June 2, 2014	Comments Due September 2, 2014
CMAQ Weighting Factors (Rule 31)	August 4, 2014	Comments Due October 3, 2014
Pavement/Bridge Performance Measure (Rule 26)	Expected October 24, 2014	Expected January 24, 2015
Asset Management Plan (Rule 30)*	Expected October 24, 2014	Expected January 24, 2015
System Performance Measure (Rule 27)	Expected December 5, 2014	Expected March 5, 2015
* 60 day comment period		

## NYSDOT / MPOs NPRM Comment Coordination





# Managing NHS Assets Not Owned by the State - The California Challenge

Steve Guenther, California DOT (Caltrans)

# National Highway System (NHS)

- Roadways important to the nation's economy, defense & mobility
- All of the principal arterials
- Some minor arterials and
- Collectors--mostly intermodal connectors



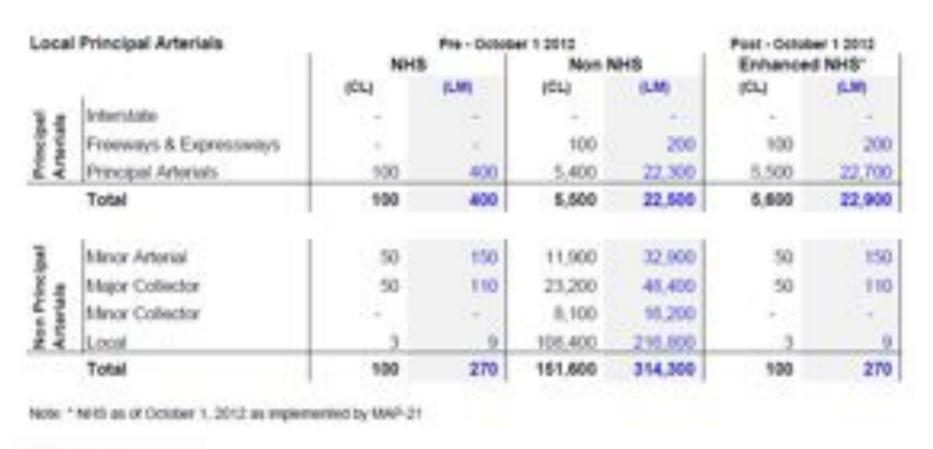
# California

- □Population = 38.3 Million
- □Caltrans Annual Budget = \$12.7 Billion
- ■Number of Caltrans Employees = 18,979
- Divided into 12 Districts
- ■Number of MPO's = 18
- Over 600 local entities



# MAP - 21 Enhanced NHS in CA

MAP-21 Impact to the California National Highway System (NHS)





# California NHS

- 14,346 centerline miles of NHS public road
- Accounts for 8% of some 176,800 centerline miles of public roads in CA
- It is about a 60/40 ratio of State to non-State NHS

<u>Miles</u>	<u>Percent</u>	
8690	60.6%	State Highway System
5657	39.4%	Local Road Systems
14346		Total CL mileage of NHS



The state is required to measure IRI on all of the NHS annually

# California NHS

### IRI Measurements- Annual

	IRI	% IRI	
<b>Centerline</b>	<u>Collected</u>	Collected	Missing
State NHS			
8,690	4,740	55%	3950
Local NHS			
5,657	4,160	74%	1497
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14,346	8,900	62%	



# Pavement Data Collection

- Pending Contract to collect remaining data for the State and Local NHS.
- □ Bid and Awarded in the next 2-3 months.
- Once contract is finalized, data collection can start when the vendor passes a Caltrans IRI certification.
- One year to collect one cycle of data.
- This contract includes two cycles of data collection.



# **Bridge Data Collection**

CALIFORNIA DEPARTMENT OF TRANSPORTATION

Enhanced NHS as defined under MAP-21 added over 3,000 bridges to the new NHS. The new breakdown of the NHS inventory of bridges is as follows:

Functional Classifications	State Owned Bridges	Locally Owned Bridges
Interstate and Principle Arterials (functional classifications 01,02,11,12 and 14)	10,618 Bridges	1,550 Bridges
Minor Arterial - Collector (functional classifications 06,07,16 and 17)	2,502 Bridges	4,764 Bridges
Minor Collector - Local (functional classifications 08,09 and 19)	754 Bridges	5,588 Bridges

# **Bridge Data Collection**

- State owns just over 90% of the NHS bridge area
- Local agencies own the remaining 10% of the NHS bridge area
- The State collects data for 100% of the bridges in California.



# Managing the California NHS

# Objective: To engage local agencies and governments to develop a strategy to fulfill MAP-21 requirements and manage assets on the enhanced NHS

- Goals
  - Performance Measures for Pavement and Bridge
    - Educating and Commenting on the NPRM
    - Once Final NPRM, Develop Strategy on How to Implement Rules
- Challenges
  - How does Caltrans handle decisions on assets we do not own?
  - How to engage 600+ agencies to participate in the process?
- Recruit Team Members from Local Agencies and Districts
  - Transportation Co-Op Committee (TCC)
  - CEAC, LCC, CSAC and HBP Advisory Committee



# Thank you

steve.guenther@dot.ca.gov

California Department of Transportation (916) 654-6076



#### Webinar 11: Managing NHS Assets Not Owned by the State



# Overview of Michigan's Transportation Asset Management Council

August 13, 2014

Presentation by Brian Sanada

**Dave Berridge** 

MICHIGAN TRANSPORTATION ASSET MANAGEMENT COUNCIL

www.michigan.gov/tamc

### **Presentation Outline**

- Formation, Charge & Goal of Michigan's Transportation Asset Management Council (TAMC)
- Annual Reporting, PASER Data Collection & Use
- Asset Management Guidance & Training Efforts
- TAMP What's Next?
- Questions?

### Formation, Charge & Goal of the "TAMC"

- P.A. 499 of 2002 defined "Asset Management" as an "ongoing process of maintaining, upgrading, and operating physical assets cost-effectively, based on a continuous inventory and condition assessment."
- P.A. 499 of 2002 also formed Michigan's Transportation Asset Management Council (TAMC) in order to provide a coordinated, unified effort by the various roadway agencies within the state to advise Michigan's State Transportation Commission on a statewide asset management strategy.
- TAMC Goal Statement: the Transportation Asset Management Council will support excellence in managing Michigan's transportation infrastructure by:
  - Advising the State Legislature and State Transportation Commission on a Statewide Asset Management Strategy.
  - Promoting Asset Management Principles Statewide.
  - Providing Asset Management Tools and Best Practices for Road Agencies.

MICHIGAN TRANSPORTATION ASSET MANAGEMENT COUNCIL

www.michigan.gov/tamc

## Transportation Asset Mgmt. Council Membership

Representing over 1,900 agencies that have a stake in Michigan's infrastructure



Carmine Palombo MTPA



John Egelhaaf MAR



Roger Safford MDOT



Dave Wresinski MDOT



Donald Disselkoen MAC



Rob Surber CSS



Bill McEntee CRAM



Joanna Johnson CRAM



Bob Slattery MML



Dale Kerbyson MML



Jennifer Tubbs MTA



Brian Sanada
TAMC Coordinator

MICHIGAN TRANSPORTATION
ASSET MANAGEMENT COUNCIL

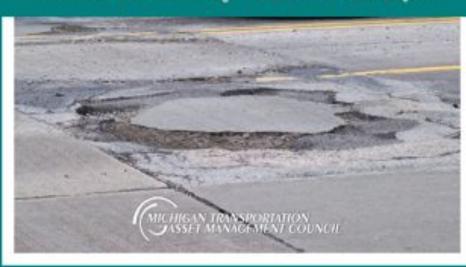
www.michigan.gov/tamc

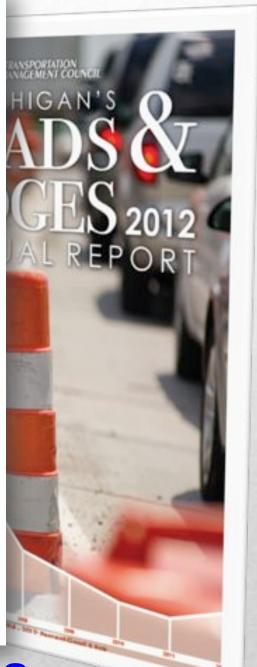
### **Annual Reporting to Legislature**



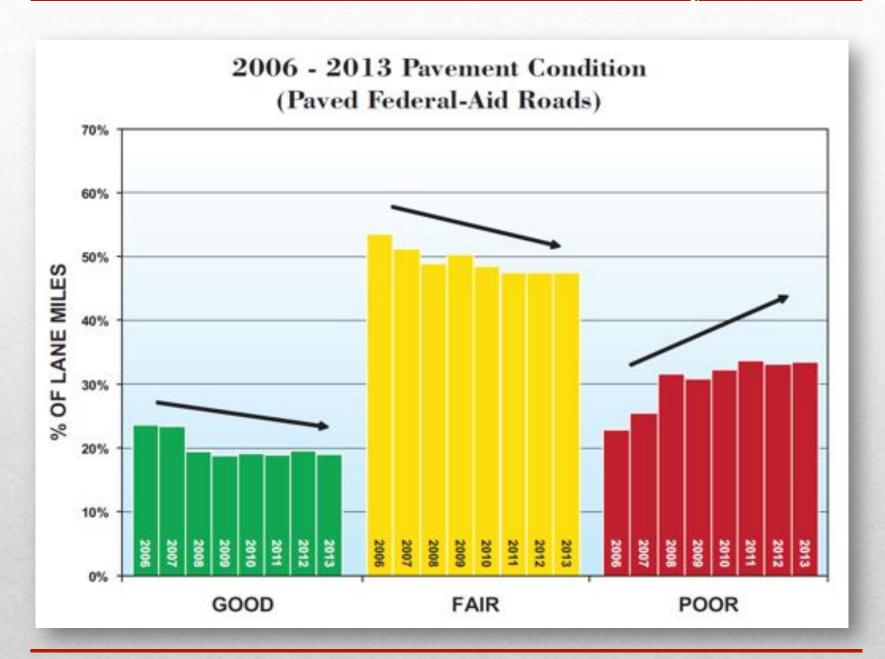


"Michigan's deteriorating infrastructure is in need of revitalization if we are to successfully reinvent our economy." - Governor Rick Snyder





### **PASER Condition – Federal-Aid System**



#### **PASER-Pavement Surface Evaluation &**

MICHIGAN TRANSPORTATION ASSET MANAGEMENT COUNCIL

### GOOD



PASER = 10, 9, 8

#### **Routine Maintenance**

- Crackseal
- Minor Patching

FAIR



PASER = 7, 6, 5

#### **Preventive Maintenance**

- Crackseal
- Patching
- Surface Treatment
- Concrete Joint Repair

#### **POOR**



PASER = 4, 3, 2,1

#### Rehab/Reconstruct

- Resurface
- Structural Overlay
- Replace Concrete Slab
- Complete Reconstruct

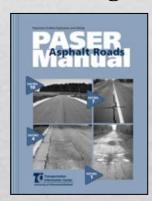
#### **Annual Training & Data Collection Effort**

#### **TRAINING**

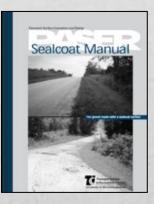


#### 350-400 Individual Raters

- Counties
- Cities & Villages
- MDOT
- Regional & Metro Planning





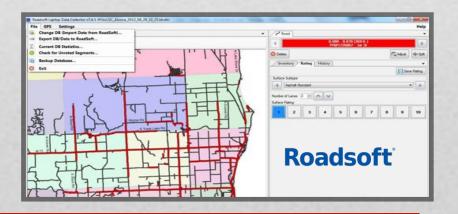


#### **DATA COLLECTION**

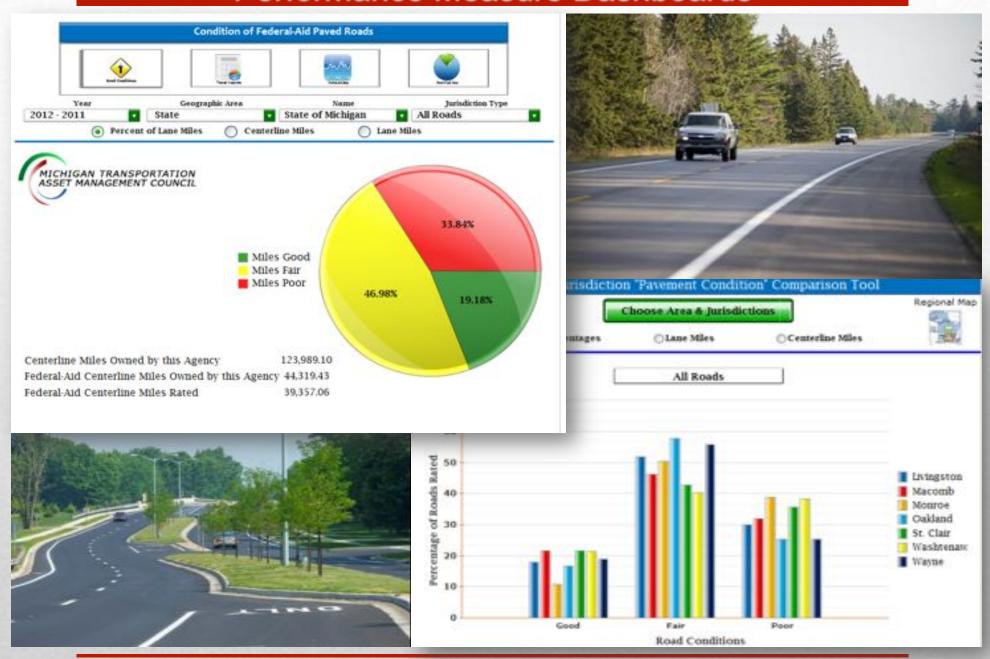


Split into teams of 3 that rate ½ of the federal-aid system per year

TAMC also budgets to rate 1/3 of the (paved) non-fed-aid per year



## **Performance Measure Dashboards**



### Fueling the Discussion on Road Funding









## **Asset Management Guidance for Local Agencies**

Asset Management Gui Agencies in Michigan

> Local Agency Guidelines for Developing an Asset Management Process and Plan

May. 2011

Michigan Transportation Amel Management



proposed to

Cataloridge Systematics, Inc.

-

Michigan's Local Technical Assistance Progra

Secondary 180

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Asset Management Guide for Local Agency Bridges in Michigan









processity Michigan Transportation Aver Management Council

proposity Transystems Corporation

May. 2011

### **Asset Management Training for Local Agencies**



- TAMC Annually Offers:
  - 2 Asset Management Conferences
  - 10 Intro to Asset Management for Local Elected & Appointed Officials Workshops
  - 6 Asset Management Plan Workshops
  - 10 PASER Trainings
  - 10 Investment Reporting Trainings
- In 12+ years, the TAMC has trained over 8,000 individuals
- Which equals an educated network of local agencies that grasp and promote the principles of asset management statewide



#### TAMP – What's Next?

- Long Term Goal to include all Federal Aid facilities
- Plan will be developed in phases
  - MAP-21 Compliance +
    - All NHS Pavements and Bridges (State and Local)
      - State 5,300 and Local 1,200 (route miles)
    - State Federal Aid Non-NHS
  - Additional State Assets
    - Mature Assets such as culverts and ITS
    - Additional Enterprise Assets as the management systems mature
  - Local Federal Aid Non-NHS

#### TAMP - What's Next?

- TAMC will be critical to TAMP success
  - It reaches all parties through one contact
    - County Road agencies
    - Cities and Villages
    - MPOs
  - 84 jurisdictions 18 counties and 66 cities have a portion of the NHS in Michigan
- Next step is to engage a consultant to assist in TAMP preparation

#### **Questions?**

#### Thank You!

Brian Sanada, TAMC Coordinator (517) 373-2220 / sanadab@michigan.gov

Dave Berridge, Special Projects Coordinator (517) 373-8090 / berridged1@michigan.gov

# Ohio DOT State-MPO Relationships



# Local System

- 937 cities and villages
- 1,109 law enforcement agencies
- 88 counties
- 28,942 bridges
- 70,500 miles of roads
- 3000 miles of bike paths
- 159 public use airports
- 12<sup>th</sup> highest transit ridership in U.S.



# Collaborating with Locals

- Asset Management
- Funding Opportunities Infrastructure
- Training for local staff
- Partners
  - Transportation Stakeholders
  - FHWA, FTA
  - MPOs
  - CEAO, Municipal League
  - ODOT's LPA Advisory Council



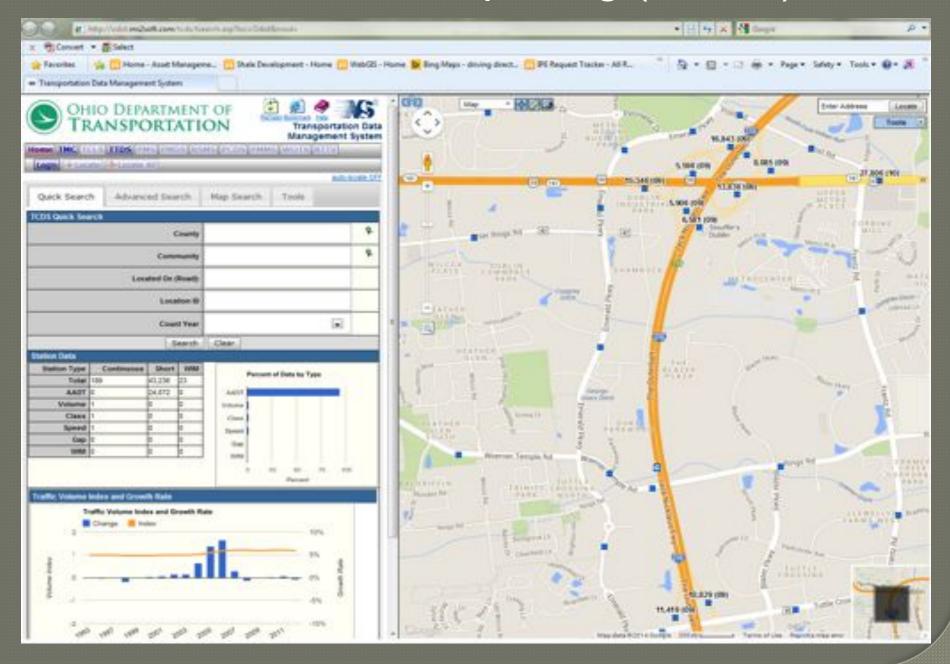
# TAM Support for MPO's

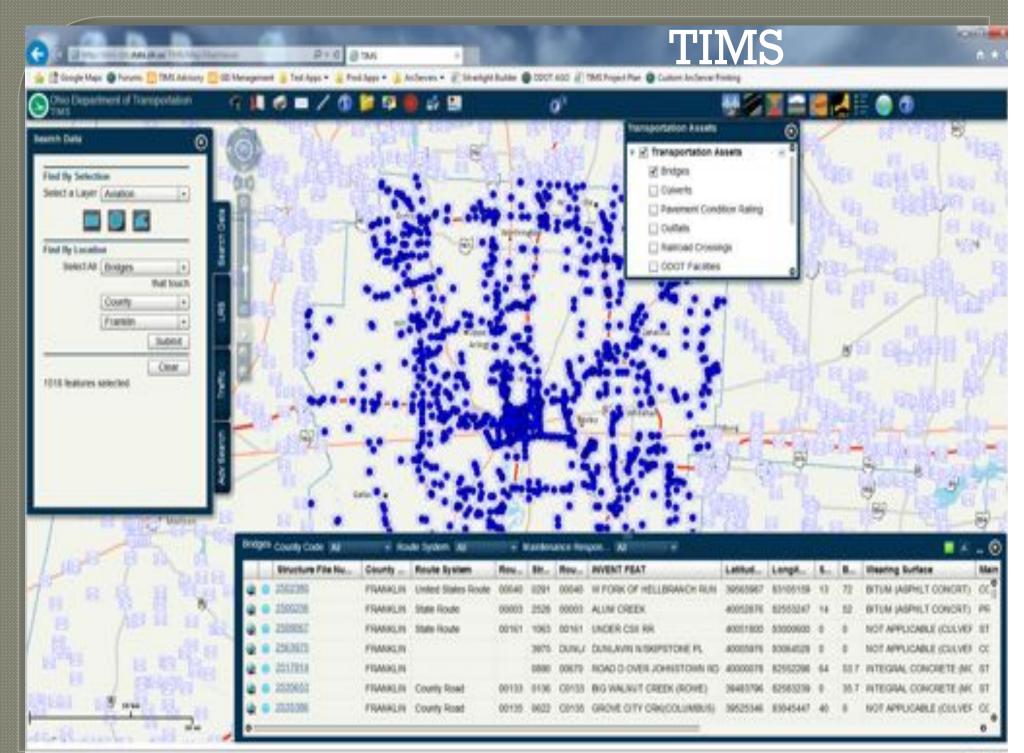
- Pavement Condition Ratings on all Off State Federal Aid Eligible Routes (11,540 mi.) including NHS & Intermodal Connectors
- Geo-Spatial (.shp) Files Of Asset Condition and Road Inventory attributes
- Traffic Counts (Over 18,000 counts have been taken on the off State System)

## Asset Management Leadership Team



## ODOT Count Data Reporting (Future)





# Local Funding Programs

- Transportation needs exceed available funds – ODOT working to improve system by providing financial support
- ODOT provides\$200 m ofdiscretionaryfunds to locals

Current Local Funding Programs	Annual Funds (in millions)
Statewide MPO	\$179
County STP	\$14
CEAO HSIP	\$10
County Bridge	\$28
Ohio Bridge PP	<b>\$40</b>
City Bridge	<b>\$4</b>
Local Major Bridge	<b>\$9</b>
Small City	\$1
Trans. Alts. Program	\$11
Safe Routes to School	<b>\$4</b>
Municipal Bridge	<b>\$4</b>
Total	\$304

## ODOT's Local-let Construction Program

- Provides flexibility to locals in administering projects
- ODOT works with MPOs and others such as CEAO to deliver program
- •\$337.3 million awarded in SFY 2014
- 275 total projects
- 90% of projects awarded on-time or ahead of schedule

# Local Technical Assistance Program

Technical Training Sessions Rural Road Safety Audit Assistance (RRSAA)

Circuit Rider Program

Route of Navigation (RON)

Publications
Database /
Transportation
Library

# LTAP - Technical Training Sessions



**Vegetation Management** 

- 215 Training Opportunities offered in SFY 2014
- Current Topics include:
  - Automated PCR Data Collection
  - Culvert Inventory & Inspection
  - Erosion & Sediment Control
  - Show Me the Money!
  - Storm Water Management
  - Transportation AssetManagement
  - Work Zone Traffic Control & Safety

## Resources

#### **ODOT** Division of Planning

http://www.dot.state.oh.us/Divisions/Planning

- ODOT Program Resource Guide
- ODOT Program and Contact Information

Jennifer Townley, Deputy Director Division of Planning jennifer.townley@dot.state.oh.us

## Questions?

Submit your questions using the webinar's Q&A feature

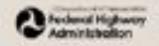
# **Next webinar:** Transportation Asset Management Financial Plans October 8, 2014 2:00 EST

#### Save the Dates!

A bimonthly webinar series, Wednesdays at 2:00 PM EST

October 8, 2014 2:00 PM EST
Transportation Asset Management Financial Plans







For more information or to register: http://tam.transportation.org